

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF FLORIDA**

**CASE NO. 20-CIV-21964-ALTONAGA**

**SECURITIES AND EXCHANGE COMMISSION,**

Plaintiff,

v.

**TCA FUND MANAGEMENT GROUP CORP.,**

Defendants.

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**ORDER**

**THIS CAUSE** came before the Court upon Receiver Jonathan E. Perlman, Esq.'s Motion to Compel Financial Institutions to Disclose the Investment Details of Beneficial Owners [ECF No. 118]. The Court having reviewed the Motion, and being otherwise fully advised, it is,


**ORDERED AND ADJUDGED** that the Motion is **GRANTED**. Within thirty (30) days of this Order, the financial institution nominees identified on Exhibit A to the Motion are ordered to (i) disclose the identities of the beneficial owners<sup>1</sup> of each investment and/or subscription they facilitated in TCA Global Credit Fund, LTD ("TCA LTD") and/or TCA Global Credit Fund, LP ("TCA LP") as of January 21, 2020; (ii) provide the Receiver with transaction history (inclusive of dates and amounts) sufficient to determine how much cash each beneficial owner transferred to and received from TCA LTD and/or TCA LP (or any other Receivership Entity); and (iii) provide the Receiver with the know your customer and anti-money laundering due diligence (documents and information) maintained for each beneficial owner in order to permit the Receiver to confirm that he may lawfully transfer funds to each beneficial owner.

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<sup>1</sup> A beneficial owner, for purposes of this Order, includes any entity or person that has submitted a redemption request or has transferred funds to the Receivership Entities in connection with a subscription.

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**DONE AND ORDERED** in Miami, Florida, this 9th day of March, 2021.

  
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CECILIA M. ALTONAGA  
UNITED STATES DISTRICT JUDGE

cc: counsel of record